

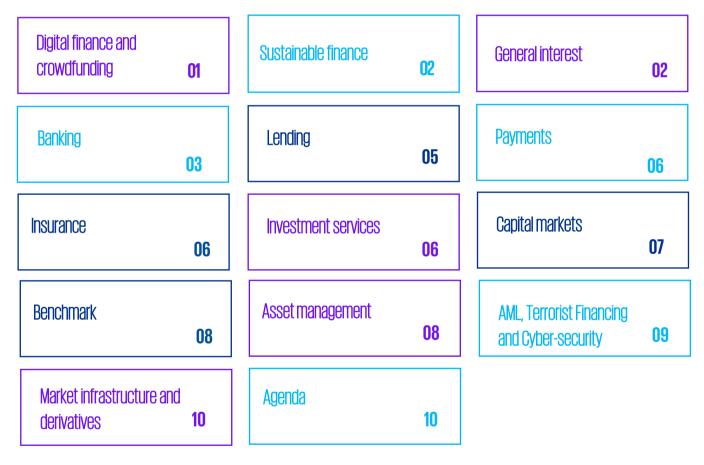
# Financial Regulatory Update

July and August 2024



Please find below our Financial Regulatory Update for July and August 2024.

With these updates, KPMG Law aims to make your life a little easier by flagging relevant regulatory developments per sector. Because we understand that managing regulatory change is a major business in itself.



## **Contact us**

If you have any questions please contact Isabelle Blomme or Walter Jacob.



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## **Digital finance and crowdfunding**

- 03.07.2024 ESMA publishes a <u>final report</u> on 8 draft technical standards specifying certain requirements of the **Markets in Crypto Assets Regulation** (MiCA) second package, <u>ENG</u>
- 04.07.2024 ESMA publishes a <u>study</u> on **MiCA whitepaper data formats**, <u>ENG</u>
- 05.07.2024 EBA publishes <u>statement</u> bringing the application of **MiCAR** to the attention of issuers, consumers, and other relevant stakeholders and announces **priorities for the supervision of issuers of Asset-Referenced Tokens and E-money Tokens** for 2024/2025, ENG
- 12.07.2024 ESMA updates its Q&A on Markets in Crypto-Assets Regulation, see questions:
  - ESMA QA 2067 on treatment of staking services in MiCA
  - ESMA QA 2068 on grandfathering clause and applicable AML laws
  - <u>ESMA QA 2069</u> on interaction between Article 60 notifications and the CASP transitional phase
  - ESMA QA 2070 on simplified authorisation procedures
  - <u>ESMA\_QA\_2071</u> on crypto-asset transfers as component of another cryptoasset service or as a separate crypto-asset transfer service
  - <u>ESMA\_QA\_2220</u> on entities not authorised as CASPs by the end of the transition period
  - <u>ESMA\_QA\_2221</u> on entities who have not applied for, or whose application for authorisation as CASPs has been refused by the end of the transition period
- 17.07.2024 ESAs publish second batch of **policy products** under <u>DORA</u>, <u>ENG</u>
- 17.07.2024 ESAs establish EU systemic cyber incident coordination framework (EU-SCICF) in the context of <u>DORA</u> to strengthen coordination in case of **systemic cyber incidents**, <u>ENG</u>
- 17.07.2024 ESAs publish a <u>final report</u> on draft RTS on the **harmonisation of conditions** enabling the conduct of the oversight activities, <u>ENG</u>
- 17.07.2024 ESAs publish a <u>final report</u> on draft RTS **specifying elements related to threat led penetration tests**, <u>ENG</u>
- 17.07.2024 ESAs publish a <u>final report</u> on the draft RTS and ITS on **incident reporting**, <u>ENG</u>
- 17.07.2024 ESAs publish a <u>final report</u> on Joint Guidelines on the estimation of aggregated annual costs and losses caused by major ICT-related incidents, <u>ENG</u>
- 17.07.2024 ESAs publish a <u>final report</u> on Joint Guidelines on the **oversight cooperation and** information exchange between the ESAs and the competent authorities, <u>ENG</u>
- 19.07.2024 ICMA publishes the <u>Digital Asset Annex</u>, a significant new addition to the Global Master Repurchase Agreement, <u>ENG</u>
- 31.07.2024 ESMA publishes an <u>opinion</u> on **global crypto firms using their non-EU execution** venues, <u>ENG</u>
- 01.08.2024 ESMA publishes <u>Working Paper</u> on **Decentralised Finance: A Categorisation of** smart contracts, <u>ENG</u>

- 01.08.2024 EBA publishes staff paper: **"Runs, transparency and regulation: on the optimal design of stablecoin frameworks**", <u>ENG</u>
- 01.08.2024 AFME publishes report on digital finance priorities for the new EU policy cycle, ENG
- 28.08.2024 BCBS publishes <u>working paper</u> on **novel risks, mitigants and uncertainties with** permissionless distributed ledger technologies, <u>ENG</u>

## Sustainable finance

#### ΕU

| 05.07.2024 | ESMA publishes final report on guidelines on enforcement of sustainability information (GLESI), $\underline{\sf ENG}$   |
|------------|---|
| 05.07.2024 | ESMA publishes a public statement on the European sustainability reporting standards (ESRS) , ENG   |
| 05.07.2024 | EU Official Journal: publication of Directive (EU) 2024/1760 of the European Parliament and of the Council of 13 June 2024 on <b>corporate sustainability due diligence</b> and amending Directive (EU) 2019/1937 and Regulation (EU) 2023/2859, <u>ENG</u> |
| 08.07.2024 | EBF publishes its <u>response</u> to the <u>BIS discussion paper</u> on the role of climate scenario analysis in strengthening the management and supervision of <b>climate-related financial risks</b> , <u>ENG</u>  |
| 24.07.2024 | ESMA publishes an $\underline{opinion}$ on the functioning of the Sustainable Finance Framework, $\underline{\sf ENG}$  |
| 25.07.2024 | ESMA updates its consolidated Q&A on the SFDR, ENG  |

## **General interest**

- 01.07.2024 Belgian Official Gazette: Publiction of Law of 7 February 2024 amending Book 6 of the Civil Code on "extra-contractual liability", <u>NL</u> / <u>FR</u>
- 08.07.2024 EBA notes EU-wide consistent implementation of 2023 priorities in supervisory work programmes but highlights need for further **consistency in the identification and treatment of risks covered by Pillar 2 requirements**, <u>ENG</u>
- 08.07.2024 EBA identifies key supervisory areas as part of the European Supervisory Examination Programme for 2025, <u>ENG</u>
- 10.07.2024 FSMA publishes changes to the FSMA Regulation on the **recognition of compliance officers**, amending amongst others <u>NL</u> / <u>FR</u>:
  - the condition that candidate compliance officers must have at least three years of appropriate experience is relaxed in certain cases for small firms; and
  - the **interim list of compliance officers** is replaced by a single list with all relevant information.

- 11.07.2024 ESAs publish joint report on the use of **behavioural insights in supervisory and policy work**, <u>ENG</u>
- 11.07.2024 ESMA updates the **reporting manual on the European single electronic format** (ESEF), <u>ENG</u>
- 16.07.2024 NBB publishes Circular NBB\_2024\_12 on the **approval of auditors and audit firms and cooperation mission of approved auditors**, <u>NL</u> / <u>FR</u>
- 17.07.2024 NBB publishes a document on the implementation of the **bail-in within the context of a resolution procedure** under Belgian law, aimed at credit institutions, brokerage firms, and other financial institutions, <u>NL</u> / <u>FR</u>
- 18.07.2024 ESMA publishes a <u>follow-up report</u> to the **fast-track peer review** on the application of the guidelines on the **enforcement of financial information by BaFin and FREP in the context of Wirecard**, <u>ENG</u>
- 22.07.2024 EBA publishes a press release stating that the effectiveness of supervision is overall good, <u>ENG</u>
- 25.07.2024 Belgian Official Gazette: publication of Royal Decree of 17 July 2024 amending the Royal Decree of 16 December 2022 on the **basic banking service for undertakings**, <u>NL / FR</u>
- 02.08.2024 Chamber of Representatives publishes draft law in order to ensure the **presence of** ATMs and terminals across the country, <u>NL</u> / <u>FR</u>
- 07.08.2024 European Official Journal: publication of Guideline (EU) 2024/2148 of the European Central Bank of 23 July 2024 amending Guideline ECB/2011/23 on the statistical reporting requirements of the European Central Bank in the field of external statistics (ECB/2024/21), ENG
- 16.08.2024 European Official Journal: publication of Opinion of the European Central Bank of 21 June 2024 on a proposal for a regulation of the European Parliament and of the Council as regards certain reporting requirements in the fields of financial services and investment support (CON/2024/21), ENG
- 19.08.2024 European Official Journal: publication of Judgment C/2023/4928 of 4 Juli 2024 of the Court on review of transparency in collective actions in the context of unfair terms in consumer contracts, ENG
- 19.08.2024 European Official Journal: publication of Judgment C/2024/4962 of the General Court of 3 Juli 2024 on **ex ante contributions to the Single Resolution Fund**, <u>ENG</u>
- 29.08.2024 ESMA publishes its second risk monitoring <u>report</u> of 2024, setting out the key **risk** drivers currently facing EU financial markets, <u>ENG</u>

## Banking

#### Belgium

17.07.2024 Chamber of Representatives: publication of Law proposal introducing a protected interest rate on savings deposits, <u>NL / FR</u>
 23.07.2024 FSMA publishes a press release stating that the regulated savings accounts offer is simplified since the number of types of regulated savings accounts has

been reduced, NL / FR

- 02.07.2024 EBA publishes the spring edition of its **risk assessment report** (RAR), <u>ENG</u>
- 02.07.2024 EBA publishes a press release stating that most EU resolution banks comply with the **requirement aimed at supporting orderly resolution in case of failure**, <u>ENG</u>
- 04.07.2024 Court of Justice: publication of judgement in case C-450/22 on clauses limiting the variation of the interest rates in mortgage loan agreements, <u>ENG</u>
- 05.07.2024 EBA starts dialogue with the banking industry on **2025 EU-Wide stress test** methodology, <u>ENG</u>
- 05.07.2024 EU Official Journal: publication of Commission Implementing Regulation (EU) 2024/1872 of 1 July 2024 amending the implementing technical standards laid down in Implementing Regulation (EU) 2016/1799 as regards the **mapping tables specifying the correspondence between the credit risk assessments of external credit assessment institutions and the credit quality steps** set out in Regulation (EU) No 575/2013 of the European Parliament and of the Council, ENG
- 09.07.2024 EBA releases technical package for its **3.5 reporting framework**, ENG
- 09.07.2024 EBA publishes <u>final draft implementing technical standards</u> (ITS) on **supervisory reporting requirements**, <u>ENG</u>
- 09.07.2024 SRB publishes results of its annual resolvability assessment, ENG
- 11.07.2024 EBA updates list of other systemically important institutions, ENG
- 16.07.2024 BCBS amend the revised standard relative to the public consultation on proposed adjustments to its **standard on interest rate risk in the banking book (IRRBB)**, <u>ENG</u>
- 16.07.2024 EBA publishes <u>report</u> on the application of derogations to the **deferral and pay out** in instruments under <u>CRD</u>, <u>ENG</u>
- 16.07.2024 EBA publishes <u>report</u> on the application of **gender-neutral remuneration policies**, <u>ENG</u>
- 17.07.2024 BCBS publishes its <u>disclosure framework</u> for banks' cryptoasset exposures, <u>ENG</u>
- 17.07.2024 BCBS publishes <u>amendments</u> to its **prudential standard on banks' exposures** to cryptoassets, <u>ENG</u>
- 17.07.2024 EBA publishes <u>statement</u> on the operational application of **CRR 3** in the area of **credit risk** for the **Internal Ratings Based Approach**, <u>ENG</u>
- 22.07.2024 EBA publishes Peer Review <u>report</u> on its Guidelines on the **application of the** definition of default, <u>ENG</u>
- 24.07.2024 European Commission publishes COMMISSION DELEGATED REGULATION (EU) .../... amending Regulation (EU) No <u>575/2013</u> of the European Parliament and of the Council with regard to the **date of application of the own funds requirements for market risk**, <u>ENG</u>
- 25.07.2024 AFME welcomes adoption of the Commission's **Delegated Act on the** postponement of the application date of the own funds requirements for market risk, <u>ENG</u>

| 26.07.2024 | EBA updates its Single Rulebook Q&A on CRR, see:  |
|------------|---|
| 30.07.2024 | <ul> <li>Question <u>2024_7085</u> on the list of IEA sectors in column a vs list of IEA sectors in the EBA3.3 annotated table layout for ESG</li> <li>Question <u>2024_7080</u> on template 5 - reporting of gross carrying amount of exposures sensitive to chronic, acute, chronic and acute climate change events</li> <li>Question <u>2024_7004</u> on template 9.1 - mitigating actions: assets for the calculation of BTAR</li> <li>SRB publishes the MREL dashboard Q1.2024 confirming that targets are met,</li> </ul> |
|            | ENG   |
| 01.08.2024 | EBA publishes staff paper: " <b>Green-supporting factors, brown-penalising</b> factors and the prudential framework", <u>ENG</u>  |
| 12.08.2024 | EBA publishes a <u>non-action letter</u> to the European Commission following the adoption of a <b>Delegated Act postponing the application of the market risk</b> framework in the EU, <u>ENG</u>  |
| 13.08.2024 | EBA publishes its <u>Resolution Convergence Report</u> which sets 2025 <b>priorities for</b><br>resolution authorities and reports on the progress achieved in 2023, <u>ENG</u>   |
| 13.08.2024 | EBA publishes <u>final draft technical standards</u> on <b>market risk</b> as part of its roadmap for the implementation of the Banking Package in the EU, <u>ENG</u>   |
| 27.08.2024 | EBA updates data used for the identification of global systemically important institutions (G-SIIs), $\underline{\sf ENG}$  |
| 29.08.2024 | EBA issues <u>Opinion</u> on a measure to address <b>macroprudential risk following a notification by the De Nederlandsche Bank</b> , <u>ENG</u>  |
| 29.08.2024 | Advisory Scientific Committee of ESRB publishes <u>report</u> on banks' <b>vulnerability to deposit runs</b> , <u>ENG</u>   |
| 30.08.2024 | EBA updates its Single Rulebook Q&A on <b>CRR</b> , see:  |

- Question <u>2024\_7034</u> on Supervisory reporting Liquidity (LCR, NSFR, AMM) Question <u>2024\_7033</u> on Supervisory reporting Leverage ratio
- .

## Lending

#### Belgium

Belgian Official Gazette: publication of Royal Decree of 23 august 2024 amending Royal Decree of 14 September 2016 on the **reference index for mortgage loans**, 23.08.2024 NL / FR

| 24.07.2024 | EBA extends the existing Joint Committee Guidelines on complaints handling to credit servicers, $\underline{\sf ENG}$                             |
|------------|---|
| 07.08.2024 | EBA publishes results from its first <u>fact finding</u> exercise on <b>creditworthiness</b> assessment practices of non-bank lenders, <u>ENG</u> |
| 14.08.2024 | ECB publishes harmonised rules and arrangements for the mobilisation and management of collateral in Eurosystem credit operations, <u>ENG</u>     |
| 23.08.2024 | ECB publishes consumer expectations survey results – July 2024, <u>ENG</u>  |

## **Payments**

#### EU

| 11.07.2024 | Court of Justice: publication of judgement in case C-409/22 regarding the <b>concept of 'payment instrument'</b> in relation to the power of attorney of an agent acting on behalf of the account holder and on the <b>concept of 'authentication'</b> in relation to payment transactions, <u>ENG</u> |
|------------|--|
| 01.08.2024 | EBA and ECB publish a joint <u>Report</u> on <b>payment fraud data</b> , <u>ENG</u>  |
| 00.00.0004 | Even an Official laws of sublication of Interact rate and indicating the Even see  |

02.08.2024 European Official Journal: publication of Interest rate applied by the European Central Bank to its main refinancing operations: 4,25 % on 1 August 2024 – Euro exchange rates, <u>ENG</u>

## Insurance

#### Belgium

- 04.07.2024 Assuralia calls on the future government to find a **lasting solution to the risk of** natural disasters, <u>NL</u> / <u>FR</u>
- 16.07.2024 NBB publishes Circular NBB\_2024\_11 on liquidity risk reporting, NL / FR
- 08.08.2024 FSMA publishes a press release on the **measures taken by the Luxembourgish** Commissariat aux Assurances against FWU Life Insurance Lux S.A., <u>NL / FR</u>

- 01.07.2024 EIOPA publishes <u>annex II</u> to the EIOPA decision on collaboration regarding the transfer of registered office of (re)insurance undertakings, <u>ENG</u>
- 02.07.2024 EU Official Journal: publication of Commission Implementing Regulation (EU) 2024/1820 of 1 July 2024 amending the implementing technical standards laid down in Implementing Regulation (EU) 2016/1800 as regards the **mapping tables specifying the allocation of credit assessments of external credit assessment institutions to an objective scale of credit quality steps** in accordance with Directive 2009/138/EC of the European Parliament and of the Council, <u>ENG</u>
- 02.07.2024 EIOPA publishes its <u>opinion</u> on the **supervision of captives**, <u>ENG</u>
- 03.07.2024 European Commission publishes COMMISSION IMPLEMENTING REGULATION (EU) .../... laying down rules for the application of Directive 2009/103/EC of the European Parliament and of the Council as regards the **template for the claims-history statement**, <u>ENG</u>
- 04.07.2024 EU Official Journal: publication of Commission Implementing Regulation (EU) 2024/1855 of 3 July 2024 laying down rules for the application of Directive 2009/103/EC of the European Parliament and of the Council as regards the template for the claims-history statement, ENG
- 15.07.2024 EIOPA publishes <u>factsheet</u> on the **regulatory framework applicable to AI systems** in the insurance sector, <u>ENG</u>
- 29.07.2024 EIOPA publishes its July 2024 <u>occupational pensions risk dashboard</u> for the institutions for occupational retirement provision, <u>ENG</u>

| 31.07.2024 | EIOPA publishes its July 2024 <u>insurance risk dashboard</u> , <u>ENG</u>   |
|------------|--|
| 05.08.2024 | EIOPA publishes monthly <u>technical information</u> for <b>Solvency II Relevant Risk-Free</b><br>Interest Rate Term Structures with reference to the end of July 2024, <u>ENG</u>   |
| 05.08.2024 | EIOPA publishes monthly <u>update</u> of the <b>symmetric adjustment of the equity</b> capital charge for Solvency II with reference to the end of July 2024, <u>ENG</u>   |
| 07.08.2024 | EU Official Journal: publication of Commission Implementing Regulation 2024/2147 laying down <b>technical information for the calculation of technical provisions and basic own funds</b> for reporting with reference dates from 30 June 2024 until 29 September 2024 in accordance with <u>Directive 2009/138/EC</u> of the European Parliament and of the Council on the taking-up and pursuit of the business of Insurance and Reinsurance, <u>ENG</u> |
| 14.08.2024 | EIOPA publishes follow-up report on EIOPA's peer review on the collaboration of  |

supervisory authorities, ENG

## **Investment services**

#### EU

| 01.07.2024 | ESMA publishes opinion on position limits on ICE Endex and EEX Dutch TTF Gas contracts, $\underline{\sf ENG}$   |
|------------|---|
| 12.07.2024 | ESMA updates its Q&A on <b>MiFID II</b> , see question <u>ESMA_QA_847</u> on interpretation of "emission allowances" under C(4), <u>ENG</u>   |
| 15.07.2024 | ESMA publishes a <u>report</u> on the 2023 <b>cross-border provision of investment services</b> to retail clients in the EU and EEA, <u>ENG</u>   |
| 22.07.2024 | ESMA publishes a <u>public statement</u> on the transition to the new regime for <b>post-trade transparency of OTC-transactions</b> , <u>ENG</u>  |
| 01.08.2024 | ESMA publishes new <b>quarterly liquidity assessment</b> of bonds and the data for the <b>quarterly systematic internaliser calculations</b> for equity, equity-like instruments, bonds and for other non-equity instruments under MiFID II and MiFIR, <u>ENG</u> |
| 08.08.2024 | EIB Group publishes Group Risk Management Disclosure report, ENG  |
| 26.08.2024 | EIB publishes <u>Working Paper</u> on <b>Investment decisions in a high-inflation</b> environment, <u>ENG</u>   |
| 30.08.2024 | AFME responds to ESMA's initial set of <b>MiFIR/MiFID Review Consultations</b> , ENG  |

## **Capital markets**

#### General

- 23.07.2024 ESA's publishes <u>table</u> of Member State language and **ex ante notification** requirements for the PRIIPs KID, <u>ENG</u>
- 02.08.2024 AFME publishes Roadmaps for Scaling DLT-based Capital Markets, ENG
- 29.08.2024 ICMA responds to the MiFIR Consultation Package on the Review of RTS 2 on transparency for bonds, structured finance products and emission allowances, draft RTS on reasonable commercial basis and review of RTS 23 on supply of reference data, ENG

- 29.08.2024 ICMA responds to the ESMA MiFIR Review Consultation Package on **Technical Standards related to Consolidated Tape Providers and DRSPs and assessment criteria for the CTP selection procedure**, <u>ENG</u>
- 30.08.2024 ICMA publishes summary <u>report</u> following its 2024 **Repo & Sustainability Survey**, <u>ENG</u>

#### Securitization Regulation

- 01.07.2024 European Commission publishes COMMISSION IMPLEMENTING REGULATION (EU) .../... amending the implementing technical standards laid down in Commission Implementing Regulation (EU) <u>2016/1800</u> as regards the mapping tables specifying the **allocation of credit assessments of external credit assessment institutions to an objective scale of credit quality steps** in accordance with Directive <u>2009/138/EC</u> of the European Parliament and of the Council, <u>ENG</u>
- 01.07.2024 European Commission publishes COMMISSION IMPLEMENTING REGULATION (EU) .../... amending the implementing technical standards laid down in Commission Implementing Regulation (EU) 2016/1799 as regards the mapping tables specifying the correspondence between the credit risk assessments of external credit assessment institutions and the credit quality steps set out in Regulation (EU) No 575/2013 of the European Parliament and of the Council, ENG

## **Benchmarks**

#### ΕU

09.08.2024 EBA amends technical standards specifying the **data collection for the 2025** benchmarking exercise, <u>ENG</u>

## **Asset management**

#### Belgium

| 08.07.2024 | FSMA publishes changes to circular FSMA_2019_25 regarding some technical updates to the <b>questionnaire to be filled in by the senior management of self-managed beveks/sicavs</b> , <u>NL</u> / <u>FR</u> |
|------------|---|
| 24.07.2024 | FSMA publishes circular FSMA_2024_12 on conduct of cross-border activities by management companies of UCITS and AIF managers under Belgian law, $\underline{NL}$ / $\underline{FR}$                         |
| 26.08.2024 | FSMA updates circular FSMA_2022_18 on the electronic submission of information for UCIs to the FSMA, $\underline{NL}$ / $\underline{FR}$  |
| 27.08.2024 | FSMA publishes a communication FSMA_2024_14 on the <b>findings</b> regarding the <b>liquidity stress tests conducted by Belgian fund managers</b> , <u>NL</u> / <u>FR</u>                                   |
| EU         |   |

- 12.07.2024 ESMA updates its Q&A on **AIFMD**, see questions:
  - <u>ESMA\_QA\_2227</u> on initial capital and additional own funds
  - ESMA QA 711 on notification upon establishment of a branch

- 12.07.2024 ESMA updates its Q&A on **UCITS**, see question <u>ESMA\_QA\_601</u> on derogation for newly authorized UCITS, <u>ENG</u>
- 12.07.2024 European Official Journal: publication of Regulation (EU) 2024/1988 of the European Central Bank of 27 June 2024 concerning **statistics on investment funds** and repealing Decision (EU) 2015/32 (ECB/2014/62) (ECB/2024/17) (recast), ENG
- 12.07.2024 ECB publishes <u>feedback statement</u> on the public consultation on the **recast ECB Regulation on investment fund statistics**, <u>ENG</u>
- 19.07.2024 European Commission publishes COMMISSION DELEGATED REGULATION (EU) .../... supplementing Regulation (EU) 2015/760 of the European Parliament and of the Council with regard to regulatory technical standards specifying when derivatives will be used solely for hedging the risks inherent to other investments of the European long-term investment fund (ELTIF), the requirements for an ELTIF's redemption policy and liquidity management tools, the circumstances for the matching of transfer requests of units or shares of the ELTIF, certain criteria for the disposal of ELTIF assets, and certain elements of the costs disclosure, ENG
- 29.07.2024 Court of Justice: publication of judgement in case C-174/23 on the **renumeration** policies and practices for alternative investment fund managers, <u>ENG</u>
- 21.08.2024 ESMA publishes Guidelines on **funds' names using ESG or sustainability**related terms, <u>ENG</u>

## AML, Terrorist Financing and cyber-security

#### Belgium

|    | 04.07.2024 | Constitutional Court: Judgment 78/2024 on a request for suspension of Article 166, replacement of Article 67, § 2, paragraph 2, of the Law of 18 September 2017 on the <b>prevention of money laundering</b> and terrorist financing and the <b>limitation of the use of cash</b> , regarding the <b>payment for copper cables</b> , <b>scrap metal</b> , <b>or goods containing precious materials</b> , <u>NL</u> / <u>FR</u> |
|----|------------|---|
|    | 25.07.2024 | FSMA publishes new <u>EBA guidelines</u> amending the <b>ML/TF Risk Factors Guidelines</b> (EBA/GL/2021/02), <u>ENG</u>   |
| EU |            |   |
|    | 04.07.2024 | EBA publishes its final <u>guidelines</u> on the 'travel rule' to <b>tackle money laundering</b> and terrorist financing in transfers of funds and crypto assets, <u>ENG</u>  |
|    | 17.07.2024 | ESAs publish second batch of <b>policy products under <u>DORA</u></b> , <u>ENG</u>  |
|    | 26.07.2024 | ESAs publish a <u>Joint Final Report</u> on draft RTS on <b>subcontracting ICT services</b> supporting critical or important functions under <u>DORA</u> , <u>ENG</u>   |

26.07.2024 EBF publishes reaction to <u>cyber resilience stress test</u> conducted by the European Central Bank reaffirming the **need** for **close cooperation between banks and supervisors to ensure cyber resilience**, <u>ENG</u>

## Market infrastructure and derivatives

- 05.07.2024 ESMA publishes a <u>final report</u> on guidelines on written arrangements and procedures for the functioning of resolution colleges, <u>ENG</u>
- 09.07.2024 ESMA publishes a press release stating that the **central counterparties clearing system is resilient**, <u>ENG</u>
- 10.07.2024 ESMA publishes a <u>public statement</u> on the **use of collateral by non-financial counterparties acting as clearing members**, <u>ENG</u>
- 30.07.2024 ECB publishes Guideline (EU) 2024/[XX] of the European Central Bank of 30 July 2024 amending Guideline (EU) 2022/912 on a new-generation **Trans-European Automated Real-time Gross Settlement Express Transfer system** (TARGET) (ECB/2022/8) (ECB/2024/20), ENG
- 13.08.2024 ESMA recognises **CDS Clearing and Depository Services as Tier 1 CCP** following MoU with the British Columbia Securities Commission, <u>ENG</u>
- 14.08.2024 ECB publishes harmonised rules and arrangements for the **mobilisation and management of collateral in Eurosystem credit operations marking**, <u>ENG</u>

## Agenda

- 30.09.2024 Deadline for responding to ESMA's <u>consultation on</u> MiFIR Review Consultation Package 3 | technical advice (Section 3), RTS 1 (Section 4), the RTS on input/output data for shares and ETFs CTP (Section 8) and the flags under RTS 2, <u>ENG</u>
- 08.10.2024 Deadline for responding to ESMA's <u>consultation</u> on Draft Regulatory Technical Standards on Liquidity Management Tools under the AIFMD and UCITS Directive, <u>ENG</u>
- 08.10.2024 Deadline for responding to EBA's <u>consultation</u> on criteria to assess the **materiality** of CVA risk exposures arising from securities financing transactions, <u>ENG</u>
- 09.10.2024 Deadline for responding to BCBS's <u>consultation</u> on principles for the **sound** management of third-party risk, <u>ENG</u>
- 12.10.2024 Deadline for responding to ESA's <u>consultation</u> on guidelines under the MiCAR (templates for explanations and legal opinions regarding the crypto-assets and standardised test for the classification of crypto-assets), <u>ENG</u>
- 15.10.2024 Deadline for responding to EBA's <u>consultation</u> on guidelines on **reporting of data** to assist authorities in their supervisory duties and significance assessment under MiCAR, <u>ENG</u>
- 15.10.2024 Deadline for responding to ESMA's <u>consultation</u> **MiFIR Review Consultation Package 3 - SI ITS (Section 5), RTS 3 (Section 6) and RTS 7 (Section 7), ENG**
- 16.10.2024 Deadline for responding to EBA's <u>consultation</u> on amending its technical standards on the joint decision process for **internal model authorization**, <u>ENG</u>
- 16.10.2024 Deadline for responding to ESMA's <u>consultation</u> on the Technical Standards specifying the criteria for establishing and assessing the **effectiveness of investment firms' order execution policies**, <u>ENG</u>
- 18.10.2024 Deadline for responding to ESMA's <u>consultation</u> on the guidelines on the submission of periodic information to ESMA by Benchmark Administrators, Credit Rating Agencies and Market Transparency Infrastructures, <u>ENG</u>

| 18.10.2024 | Deadline for responding to ESMA's consultation on the supervisory expectations for the management body, $\underline{\sf ENG}$  |
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| 25.10.2024 | Deadline for responding to EIOPA public consultation on the future implementation of the new proportionality framework under Solvency II, $\underline{\sf ENG}$  |
| 30.10.2024 | Deadline for responding to EBA's consultation on its resolution planning reporting framework, $\underline{\sf ENG}$  |
| 31.10.2024 | Deadline for responding to EBA's <u>consultation</u> on technical standards for uniform reporting under the Single Euro Payments Area Regulation and issues statement to payment service providers, <u>ENG</u> |

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